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Substitute for form 1449/PTO		Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	10/810,276	
		Filing Date	3/26/2004	
		First Named Inventor	LAUFER, Michael D.	
		Art Unit	3735	
		Examiner Name	Shay, David M.	
Sheet	1	of	2	Attorney Docket No: ASTX-N-A001.01-US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
<i>dm</i>		AN, S.S. et al., Airway smooth muscle dynamics: a common pathway of airway obstruction in asthma, European Respiratory Journal, 2007, Volume 29, Number 5, pp. 834-860.	
<i>dm</i>		BEL, E. H., Hot Stuff: Bronchial Thermoplasty for Asthma, American Journal of Respiratory and Critical Care Medicine, 2006, Volume 173, pp. 941-942.	
<i>dm</i>		BROWN, R. H. et al., In vivo evaluation of the effectiveness of bronchial thermoplasty with computed tomography, Journal of Applied Physiology, 2005, Volume 98, pp. 1603-1606.	
<i>dm</i>		BROWN, R. H. et al., Effect of bronchial thermoplasty on airway distensibility, European Respiratory Journal, Volume 26, NUMBER 2, pp. 277-282.	<i>NO DATE</i>
<i>dm</i>		CHHAJED, P., Will There be a Role for Bronchoscopic Radiofrequency Ablation?, 2005, J Bronchol, Volume 12, Number 3, p. 184.	
<i>dm</i>		COX, G., et al., Early Clinical Experience With Bronchial Thermoplasty for the Treatment of Asthma, 2002, p. 1068.	
<i>dm</i>		COX, G. et al., Asthma Control During the Year After Bronchial Thermoplasty, The New England Journal of Medicine, March 29, 2007, Volume 356, Number 13, pp. 1327-1337.	
<i>dm</i>		COX, G. et al., Bronchial Thermoplasty: One-Year Update, American Thoracic Society Annual Meeting, 2004, p. 1.	
<i>dm</i>		COX, G., et al., Development of a Novel Bronchoscopic Therapy for Asthma, Journal of Allergy and Clinical Immunology, 2003, p. 1.	
<i>dm</i>		COX, G. et al., Bronchial Thermoplasty for Asthma, American Journal of Respiratory and Critical Care Medicine, 2006, Volume 173, pp. 965-969.	
<i>dm</i>		COX, G., et al., Bronchial Thermoplasty: Long-Term Follow-up and Patient Satisfaction, 2004, p. 1.	
<i>dm</i>		COX, G., et al., Radiofrequency ablation of airway smooth muscle for sustained treatment of asthma: preliminary investigations, European Respiratory Journal, 2004, 24, pp. 659-663.	
<i>dm</i>		COX, G., et al., Clinical Experience with Bronchial Thermoplasty for the Treatment of Asthma, 2003, Chest 124, p. 106S.	
<i>dm</i>		COX, G., et al., Impact of bronchial thermoplasty on asthma status: interim results from the AIR trial, 2006, European Respiratory Society Annual Meeting, Munich, Germany, p. 1.	
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dm		LAVIOLETTE, et al., Asthma Intervention Research (AIR) Trial: Early Safety Assessment of Bronchial Thermoplasty, 2004, p. 1.	
dm		LEFF, et al., Bronchial Thermoplasty Alters Airway Smooth Muscle and Reduces Responsiveness in Responsiveness in Dogs: A Possible Procedure for the Treatment of Asthma, American Thoracic Society Annual Meeting, 2002, p. 1.	
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dm		SHESTERINA, M. V. et al., Effect of laser therapy on immunity in patients with bronchial asthma and pulmonary tuberculosis, 1993, pp. 23-26.	

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		First Named Inventor	Michael D. LAUFER
		Art Unit	3735
		Examiner Name	D. Shay
Sheet 1 of 4	Attorney Docket No: ASTXNA00101US		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
<i>DM</i>		US-4461283	07-24-1984	DOI; Yuzuru	
<i>DM</i>		US-4567882	02-04-1986	HELLER; Richard M.	
<i>DM</i>		US-4584998	04-29-1986	MCGRAIL; Thomas W.	
<i>DM</i>		US-4674497	06-23-1987	OGASAWARA; Tadahiko	
<i>DM</i>		US-4709698	12-01-1987	JOHNSTON; James H. et al.	
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<i>DM</i>		US-4976709	12-11-1990	SAND; Bruce J.	
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<i>DM</i>		US-5059033	10-01-1991	CLARKE; Richard H.	
<i>DM</i>		US-5096916	03-17-1992	SKUPIN; Alvaro H.	
<i>DM</i>		US-5116864	05-26-1992	MARCH; Keith L. et al.	
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<i>DM</i>		US-5871523	02-16-1999	FLEISCHMAN; Sidney D. et al.	

EXAMINER SIGNATURE *D. Shay*DATE CONSIDERED *August 18, 2007*

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<i>DM</i>		US-5891136	04-06-1999	MC GEE; David et al.	
<i>DM</i>		US-5908445	06-01-1999	WHAYNE; James G. et al.	
<i>DM</i>		US-6142983	11-07-2000	WHAYNE; James et al.	
<i>DM</i>		US-6198970	03-06-2001	FREED; Marcy L. et al.	
<i>DM</i>		US-6338836	01-15-2002	KUTH; Rainer et al.	
<i>DM</i>		US-6411852	06-25-2002	DANEK; Christopher J. et al.	
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<i>DM</i>		US-6634363	10-21-2003	DANEK; Christopher James et al.	
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<i>DM</i>		US-20040031494A1	02-19-2004	DANEK; Christopher James et al.	
<i>DM</i>		US-20040182399A1	09-23-2004	DANEK; Christopher James et al.	
<i>DM</i>		US-20050010270A1	01-13-2005	LAUFER, Michael D.	

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
<i>DM</i>		EP-908150B1	05-07-2003	ETHICON ENDO-SURGERY, INC.		

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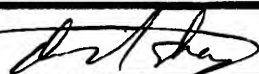
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<i>Dr</i>		RU-2053814C1	02-10-1996	NOVIKOV VALERIJ NIKOLAEVICH		✓
<i>Dr</i>		RU-2091054	09-27-1997	VLADIVOSTOKSKIY GOSUDARSTVENNYJ MEDITSINSKIY UNIVERSITET		✓
<i>Dr</i>		WO-9733715A1	09-18-1997	BOOTSMA HOLDING B.V.		
<i>Dr</i>		WO-9844854A1	10-15-1998	BRONCUS TECHNOLOGIES, INC.		
<i>Dr</i>		WO-9858681A3	03-18-1999	EP TECHNOLOGIES, INC.		
<i>Dr</i>		WO-9903413A1	01-28-1999	VNUS MEDICAL TECHNOLOGIES, INC.		
<i>Dr</i>		WO-9913779A2	03-25-1999	SCIMED LIFE SYSTEMS, INC.		
<i>Dr</i>		WO-9944506A1	09-10-1999	SENORX, INC.		
<i>Dr</i>		WO-9945855A1	09-16-1999	CARDIOFOCUS, INC.		

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<i>JS</i>		SIMON R. JOHNSON et al., Synthetic Functions of Airway Smooth Muscle in Asthma, Trends Pharmacol. Sci., Aug. 1997, 18(8), 288-292.	
<i>JS</i>		MACKLEM P.T., Mechanical Factors Determining Maximum Bronchoconstriction, European Respiratory Journal, Jun. 1989, 6, 518s-519s.	
<i>JS</i>		JAMES C. HOGG, The Pathology of Asthma, APMIS, Oct. 1997, 105(10), 735-745.	
<i>JS</i>		DIERKESMANN et al., Indication and Results of Endobronchial Laser Therapy, Lung, 1990, 168, 1095-1102.	
<i>JS</i>		NETTER F. H., Respiratory System: A Compilation of Paintings Depicting Anatomy and Embryology, Physiology, Pathology, Pathophysiology, and Clinical Features and Treatment of Diseases, In The CIBA Collection of Medical Illustrations M.B. Divertie, ed., Summit: New Jersey, 1979, vol. 7, 119-135.	
<i>JS</i>		PROVOTOROV et al., The Clinical Efficacy of Treating Patients with Nonspecific Lung Disease by Using Low-energy Laser Irradiation and Intrapulmonary Drug Administration, ISSN: 0040-3660., Terapevticheskii Arkhiv (USSR), 1991, 63 (12), 18-23.	
<i>JS</i>		VOROTNEV et al., Low energy laser treatment of chronic obstructive bronchitis in a general rehabilitation center, ISSN: 0040-3660., Terapevticheskii Arkhiv, 1997, 69 (3), 17-19.	
<i>JS</i>		WIGGS B.R. et al., On the Mechanism of Mucosal Folding in Normal and Asthmatic Airways, J. Appl. Physiol., Dec. 1997, 83(6), 1814-1821.	
<i>JS</i>		IVANIUTA O. M. et al., Effect Of Low-Power Laser Irradiation Of Bronchial Mucosa On The State Of Systemic And Local Immunity In Patients With Chronic Bronchitis, Problemy Tuberkuleza, 1991, 6, 26-29.	

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